

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) OKI.206D3 In Re Application Of: Masahiro Yoshida et Application No. Customer No. Group Art Unit Confirmation No. Filing Date 2812 4915 10/762,361 January 23, 2004 W. Lindsay, Jr. Title: METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE INCLUDING A MOSFET WITH NITRIDE SIDEWALLS Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. Mark The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. 

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ★ In the statement specified in 37 CFR 1.97(e); OR the fee set forth in 37 CFR 1.17(p). U.S. Patent No. 4,810,666 is provided as an English language equivalent of JP 61-16571.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) (TV.97(c))					Docket No. OKI.206D3		
In Re Application: Masahiro Yoshida et al.							
Application No.	Filing Date	Customer No.		Group Art Unit	Confirmation No.		
10/762,361	January 23, 2004	W. Lindsay, Jr.			2812	4915	
Title: METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE INCLUDING A MOSFET WITH NITRIDE SIDEWALLS							
	(Only on		nent of Fee		CED 4.47(=))		
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  ☐ A check in the amount of is attached.  ☐ The Director is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below.  ☐ Charge the amount of ☐ Credit any overpayment. ☐ Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*  ☐ I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.  ☐ (Date)  ☐ (Date)  ☐ (Date)							
Signature				Signature of Person Mailing Correspondence			
Typed or Printed Name of Person Signing Certificate  Typed or Printed Name				ed or Printed Name	of Person Mailing Certificate		
ANDREW J. TELE REG. NO. 33,581  VOLENTINE FRAM ONE FREEDOM SO	Signature SZ, JR.  NCOS & WHITT, P.I QUARE DRIVE, SUITE 1260		Dated:	December 23, 2	004		

## STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. OKI.206D3 INFORMATION DISCLOSURE STAFFMENT In Re Application Of: Masahiro Yoshida et al. DEC 2 3 2004 Customer No. Group Art Unit Confirmation No. Application No. Filing Date 10/762,361 January 23, 2004 W. Lindsay, Jr. 2812 4915 METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE INCLUDING A Invention: MOSFET WITH NITRIDE SIDEWALLS **COMMISSIONER FOR PATENTS:** This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application. Check applicable statement herebelow: Statement Under 37 CFR 1.97(e)(1) Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Statement Under 37 CFR 1.97(e)(2) ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. Dated: December 23, 2004 ANDREW J. TELESZ, JR. **REG. NO. 33,581** Certificate of Mailing by First Class Mail I certify that this correspondence is being deposited with **VOLENTINE FRANCOS & WHITT, P.L.L.C.** the United States Postal Service with sufficient postage as ONE FREEDOM SQUARE first class mail in an envelope addressed to "Commissioner 11951 FREEDOM DRIVE, SUITE 1260 for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on **RESTON, VA 20190** TEL. NO. (703) 715-0870 (Date) Signature of Person Mailing Correspondence CC:

Typed or Printed Name of Person Mailing Correspondence

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

2 3 2004

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/762,361			
Filing Date	January 23, 2004			
First Named Inventor	Masahiro Yoshida et			
Art Unit	2812			
Examiner Name	W. Lindsay, Jr.			
Attorney Docket Number	OKI.206D3			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
	JP 09-045908	02/14/1997			
	JP 05-198794	08/06/1993			V
	JP 61-16571	01/24/1986			L
	JP 09-008307	01/10/1997			
	JP 09-129872	05/16/1997			V
		No. <sup>1</sup> Country Code <sup>3</sup> Number <sup>1</sup> Kind Code <sup>5</sup> (if known)  JP 09-045908  JP 05-198794  JP 61-16571  JP 09-008307	No.1         Date MM-DD-YYYY           Country Code3 Number 1 Kind Code5 (if known)         02/14/1997           JP 09-045908         02/14/1997           JP 05-198794         08/06/1993           JP 61-16571         01/24/1986           JP 09-008307         01/10/1997	No.1         Date MM-DD-YYYY         Applicant of Cited Document MM-DD-YYYY           JP 09-045908         02/14/1997           JP 05-198794         08/06/1993           JP 61-16571         01/24/1986           JP 09-008307         01/10/1997	No.1         Date MM-DD-YYYY         Applicant of Cited Document MM-DD-YYYY         Where Relevant Passages Or Relevant Figures Appear           JP 09-045908         02/14/1997         08/06/1993           JP 61-16571         01/24/1986         01/10/1997           JP 09-008307         01/10/1997         01/10/1997

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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